

08/25/98



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PTO/SB/29 (12/97)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 7954-151 Total Pages 80

First Named Inventor or Application Identifier

Toshiya OHTOMO

Express Mail Label No. EM 061 017 075 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form 2 (+2)  
Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 61]  
(preferred arrangement set forth below)  
-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to Microfiche Appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description of the Invention (including drawings, if filed)  
-Claim(s)  
-Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 12]
4. ☒ Oath or Declaration [Total Sheets 3]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTORS(S)  
Signed statement attached deleting inventor(s) named in the  
prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied under Box 4b, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior  
application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:  $\phi\phi$

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/935,445 filed September 23, 1997.**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 20583  
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner S. VIRMANIArt Unit 2852Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b), of pending prior application no. 08/935,445 filed on September 23, 1997, which is a FWC of application no. 08/490,497, filed on June 14, 1995

of Toshiya OHTOMO and Hiroto HORIKAWA  
(inventor(s) currently of record in prior application)for STAGE UNIT, DRIVE TABLE, AND SCANNING EXPOSURE APPARATUS USING SAME  
(title of invention)

1. ☒ The filing fee is calculated below:

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	27	-20	7	\$22.00 each	154.00
Independent	7	-3	4	\$82.00 each	328.00
Basic Fee					790.00
Multiple Dependency Fee If Applicable (\$270.00)					
Total					1,272.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					-
Total Filing Fee					\$ 1,272.00

2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☒ Amend the specification by inserting before the first line the following sentence: This is a ☒ continuation, ☐ division, of application no. 08/935,445, filed September 23, 1997, which is a FWC of application no. 08/490,497, filed June 14, 1995.

- 4a. ☐ Transfer the drawings from the prior application to this application and abandon the prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
- 4b. ☒ New formal drawings are enclosed.
- 4c. ☐ Informal drawings are enclosed.
- 5a. ☒ Priority of application nos. 156429/1994; 153458/1994; and 268546/1994 filed on June 16, 1994; July 5, 1994; and November 1, 1994, respectively, in Japan is claimed under 35 U.S.C. §119.
- 5b. ☒ The certified copies have been filed in prior application no. 08/490,497, filed June 14, 1995.
6. ☒ The prior application is assigned of record to Nikon Corporation.
- 7a. ☒ The Power of Attorney appears in the original papers in the prior application no. 08/490,497, filed June 14, 1995.
- 7b. ☐ Since the Power of Attorney does not appear in the original papers, a copy of the Power in prior application no. , filed is enclosed.
8. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. , filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
9. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
10. ☒ Additional enclosures or instructions. **A preliminary amendment is filed herewith. A supplemental preliminary amendment is being prepared for filing. The Examiner is respectfully requested to call Applicants' attorneys when he is ready to issue an office action before the supplemental preliminary amendment is filed.**

Respectfully submitted,

August 25, 1998

(date)

*Charles E. Miller* (Reg. No. 43,362)  
*Charles E. Miller* 24,576  
 Charles E. Miller (signature) (Reg No.)

PENNIE & EDMONDS LLP  
 1155 Avenue of the Americas  
 New York, NY 10036  
 Tel. No. (212) 790-9090

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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

10/13/1998 JWSHNG 00000071 161150 09139296

01 FC:101	790.00 CH
02 FC:102	328.00 CH
03 FC:103	154.00 CH